This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 12 July 2001 (12.07.2001)

PCT

(10) International Publication Number WO 01/49164 A1

(51) International Patent Classification7:

A61B 1/07

(21) International Application Number: PCT/GB00/05008

(22) International Filing Date:

28 December 2000 (28.12.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

9930784.5

29 December 1999 (29.12.1999) G

(71) Applicant (for all designated States except US): KEYMED (MEDICAL & INDUSTRIAL EQUIP-MENT) LTD [GB/GB]; KeyMed House, Stock Road, Southend-on-Sea, Essex SS2 5QH (GB).

(72) Inventors; and

(75) Inventors/Applicants (for US only): ROSS, Ian, Michael

[GB/GB]; 48 Henry Drive, Leigh-on-Sea, Essex SS9 3QF (GB). PARIS, Nicki, John [GB/GB]; 39A Cotswold Road, Westeliff-on-Sea, Essex SS0 8AA (GB). ROBINSON, Christopher, Paul [GB/GB]; 17 Great Mistley. Basildon. Essex SS16 4BE (GB).

(74) Agent: BOULT WADE TENNANT: Verulam Gardens. 70 Gray's Inn Road, London WC1X 8BT (GB).

(81) Designated States (national): JP, US.

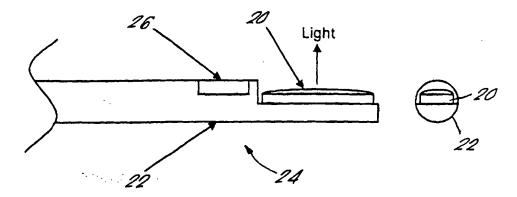
(84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

Published:

-- With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: LIGHT SOURCE FOR BORESCOPES AND ENDOSCOPES



(57) Abstract: The present invention provides an apparatus (24) for use as a borescope or endoscope for viewing an object at a remote or inaccessible location. The apparatus (24) comprises a tube (22) having a proximal end and a distal end and means in the tube for obtaining an image of an object and transmitting it to a viewing device. The apparatus (24) also comprises illumination means comprising an array (20) of light emitting diodes (10) mounted on a substrate (12) and covered by a common protective shield (18) of optically clear material. The array (20) may be mounted at the distal end of the tube (22) adjacent a viewing port (26). Alternatively, the array (20) may be mounted at the proximal end of the tube, adjacent the end face (38) of a bundle of optical tibres which transmit light to the distal end of the tube (22). The arrangement eliminates the need for an external light source and light guide, thereby reducing light losses and making the apparatus more compact.

WO 01/49164 A

LIGHT SOURCE FOR BORESCOPES AND ENDOSCOPES

The present invention relates generally to borescopes and endoscopes, which are well-known optical devices for viewing objects at remote or inaccessible locations. Borescopes and endoscopes usually incorporate means to illuminate the field of view. This typically consists of a bundle of optical fibres for transmitting light from a light source, located externally of the device, through the device and out of an illumination port. The present invention relates to an improved means of providing illumination of the field of view.

15

20

25

30

10

5

Accordingly, the present invention provides an apparatus for use as a borescope or endoscope for viewing an object at a remote or inaccessible location, comprising a tube having a proximal end a distal end, means in the tube for obtaining an image of an object and transmitting it to a viewing device, and illumination means comprising an array of light emitting diodes (LEDs) mounted on a substrate and covered by a common protective shield of optically clear material.

In this way, an external light source and a conventional light guide for transmitting its light to a bundle of optical fibres in the tube is unnecessary. This greatly reduces the light losses, ensuring that more of the light from the light source is available to illuminate the field of view.

In one embodiment, the array of LEDs is

positioned at the distal end of the tube adjacent a viewing port provided in the tube.

WO 01/49164

5

15

20

25

30

35

Alternatively, the illumination means further includes a plurality of optical fibres for transmitting light through the tube from the proximal end to the distal end, wherein the fibres are arranged in annular form and present an annular end face at the proximal end of the tube, wherein the array of LEDs is annular and is positioned at the proximal end of the tube facing the annular end face of the fibres.

In either embodiment, the protective shield may be shaped so as to form a lens to focus light produced by the LEDs.

Alternatively, a separate lens may be positioned in front of the array in order to focus light produced by the light emitting diodes.

If an annular array of light emitting diodes is used, an annular light pipe may be positioned between the array and the end face of the optical fibres for transmitting light from the array to the fibres.

In this case, the distal end of the light pipe may be shaped to form a lens to focus light onto the end face of the fibres.

Alternatively, a separate lens may be positioned between the light pipe and the end face of the optical fibres.

Cooling means may be provided to dissipate any heat produced by the array of LEDs.

The LEDs may emit white light. Alternatively, they may emit blue light, in which case the protective shield preferably incorporates white or yellow phosphor, whereby the array as a whole provides white

light.

Alternatively, the array may comprise a plurality of differently coloured LEDs operable in combination to produced white light.

In particular, the array may comprise a mixture of LEDs operable to produce red, green or blue light and means to operate the LEDs so as to provide light which sequentially alternates between red, green and blue.

In further alternative embodiments, the LEDs may produce infra red light or ultraviolet light.

15

25

* . . .

10

Preferably, the array includes at least fifty LEDs, and more preferably at least eighty LEDs.

If the array is provided at the proximal end of the tube, it may be incorporated in an assembly which is detachable from the tube.

The invention will now be described in detail, by way of example only, with reference to the accompanying drawings in which:

Figure 1 illustrates in cross section part of an array of LEDs;

Figure 2 illustrates a side and end view of a first embodiment of the invention including an array at the distal end of the borescope or endoscope;

Figure 3 illustrates a perspective view of a second embodiment of the invention, also with the array at the distal end;

20

Figure 4 illustrates a side and end view of a third embodiment of the invention, also with the array at the distal end;

- 5 Figure 5 illustrates a side and end view of a fourth embodiment of the invention, also with the array at the distal end;
- Figure 6 illustrates a perspective view of a fifth embodiment of the invention, with the array at the proximal end of the borescope or endoscope;

Figure 7 illustrates a cross sectional view of a sixth embodiment of the invention, with the array at the proximal end;

Figure 8 illustrates a cross sectional view of a seventh embodiment of the invention, with the array at the proximal end;

Figure 9 illustrates a cross sectional view of an eighth embodiment of the invention, with the array at the proximal end;

- 25 Figure 10 illustrates a cross sectional view of a ninth embodiment of the invention, with the array at the proximal end;
- Figure 11 illustrates a cross sectional view of a tenth embodiment of the invention, with the array at the proximal end.
- A typical borescope or endoscope comprises a tube, which may be rigid or flexible, having a distal end which is inserted in use into, for example, a machine or a human body. A viewing port is provided in the distal end of the tube through which an object

WO 01/49164 PCT/GB00/05008

- 5 **-**

may be viewed. An optical train may be provided in the tube for transferring an image of the object from the distal end to the proximal end. An ocular assembly at the proximal end focuses the image onto the eye of an observer or onto a camera attachment for display on a screen. Alternatively, an image to video conversion device, such as a CCD chip may be provided in the distal end of the tube, with appropriate wiring passing from the chip along the tube.

10

15

20

25

30

35

5

To enable viewing of the object, it is usually necessary to provide some form of illumination. Typically, this consists of a bundle of optical fibres running through the tube to an illumination port adjacent the viewing port.

In conventional borescopes or endoscopes, the bundle of optical fibres runs down one side of the insertion tube, with an optical train extending down the other side of the tube, both being eccentric to the longitudinal axis of the tube. An external light source is connected to the bundle of optical fibres by means of a light guide. In conventional orbital scan scopes in which the insertion tube is rotatable about its longitudinal axis, this arrangement can lead to misalignment of the light guide with the optical bundle during rotation.

The external light source is typically a conventional high wattage bulb producing very bright light and a light guide for transmitting this light into the scope and to the optical fibres. Because the bulb is linked to the optical fibres by a conventional light guide there can be light losses of up to 70%, dramatically reducing the illumination available at the distal end of the scope.

WO 01/49164 PCT/GB00/05008

The present invention employs an alternative light source within the borescope or endoscope, to avoid the need for an external light source and light guide. In particular, the present invention employs a dense array of light emitting diodes (LEDs) as the light source.

5

10

15

20

25

30

35

Conventionally, an LED is thought of as a tiny silicon chip with metal connections which is encapsulated in a clear epoxy substance to provide a lens. In the present invention, in order to increase the density of LEDs which can be mounted on a substrate, a stripped down version of an LED is used, as illustrated in Figure 1. This is essentially the LED chip 10 without the epoxy encapsulation. These LED chips 10 are mounted on a ceramic substrate 12 by means of a thermally and electrically conductive glue 14 which serves as one of the connections for the LED chip 10. A gold bond wire 16 is attached to the top of each LED chip 10 and connected with gold circuit tracks (not shown) on the substrate 12 to provide the other connection for the LED chip 10.

A protective layer 18 of optically clear glue such as epoxy is then provided to cover all of the LED chips 10 mounted on the same substrate.

A first embodiment of the present invention is shown in Figure 2. Here, an array 20 of LED chips 10 is provided at the distal end of the insertion tube 22 of a borescope or endoscope 24, adjacent to the viewing port 26. In this example, the scope 24 is a lateral viewing scope in which the field of view is to the side and thus the LED array 20 is also arranged to direct light to the side. It will be apparent that, although the array 20 is shown positioned distally of the viewing port 26 it could also be positioned

proximally.

To dissipate any heat generated by the array 20, a heat sink and cooling means, such as fins (not shown) may be provided on the substrate 12 of the array 20 and/or a portion 28 of the insertion tube 22 on which the substrate 12 itself is mounted.

In a second embodiment shown in Figure 3 a forward viewing scope 24 is provided with a viewing port 26 providing a field of view in the direction of the longitudinal axis of the insertion tube 22. In this case, the LED array 20 may conveniently be in annular form, surrounding the viewing port 26.

15

20

25

30

35

10

5

It may be desirable to focus the light produced by the array 20. Focussing can be achieved in a number of ways. As shown in Figure 4, the protective layer of optically clear glue 18 which covers the LED chips 10 may be shaped to act as a lens 30.

Alternatively, as shown in Figure 5, a separate lens 32 may be provided in front of the array 20. Although not illustrated, either of these focussing methods can be incorporated in the forward viewing embodiment as shown in Figure 3.

In some cases, it may be preferred not to place the array 20 at the distal end of the scope 24 but at the proximal end. One example of such an arrangement is illustrated in Figure 6 which shows the proximal end of scope 24. In this embodiment, an optical train 34, e.g. a series of lenses, transmits an image from the distal end (not shown) of the scope 24 to the proximal end and onto, in this case, a camera attachment 36 (or an eyepiece assembly for direct viewing).

10

To illuminate the field of view, a bundle of optical fibres 40 is provided in annular form extending along the scope 24 surrounding and concentric with the optical train 34. Thus, the fibres present an annular end face 38 at the proximal end of the scope 24. To provide illumination, an annular LED array is provided adjacent to the end face 38. As shown, the substrate 12 of the array 20 is also annular to allow the camera attachment 36 or eyepiece components etc to be positioned aligned with the optical train 34.

An annular light pipe 42 may be provided between
the array 20 and the end face 38 as shown in Figure 7
to collect light produced by the array 20 and transmit
it to the fibres 40. Figure 7 (and Figures 8-11) shows
one side of the proximal end of the scope, the other
side corresponding so as to be symmetrical about the
longitudinal axis X-X of the tube 22. A light pipe 42
usually consists of a hollow tube of optical grade
material such as plastic or glass which transmits
light with very few losses due to total internal
reflection.

25

30

35

The distal end of the light pipe 42 may be shaped to form a lens 44 as seen in Figure 8, in order to focus the light onto the end face 38 of the fibres. Alternatively, a separate focussing ring lens 32 may be provided between the light pipe 42 and the end face 38 as seen in Figure 9.

As another alternative, the light pipe 42 may be dispensed with altogether and a separate ring lens 32 alone may be provided between the array 20 and the end face 38 as shown in Figure 10.

WO 01/49164 PCT/GB00/05008

- 9 -

Another possibility is for the protective layer 18 of the array 20 to be shaped so as to form a focussing lens 30, as shown in Figure 11.

In the embodiments of Figures 6-11, the LED chips 10 are typically arranged in a single circle with the diameter of a circle running through the centres of the chips in the order of 15 mm. The array preferably includes at least 50 and more preferably between 80 and 90 LEDs. Each LED chip 10 is typically in the order of 0.3 mm sq.

The LED chips 10 used in the array 20 may be those which emit white light from the semi-conductor itself. Alternatively, LED chips 10 which produce blue light can be used, in which case white or yellow phosphor is incorporated in the protective shield 18 with the result that the white light is emitted from the array 20 overall.

20

25

30

35

15

5

10

It is also possible to use a mixture of red, green and blue LEDs on the same substrate 12 which act in combination to provide white light from the array 20 as a whole. Using red, green and blue LEDs also provides the possibility of strobing the light. In some conventional endoscope systems it is known to use a white light source with a rotating filter wheel carrying red, green and blue filters positioned between the light source and the optical fibres. The result is that light transmitted from the end of the scope over the field of view alternates between red, green and blue. A monochrome camera is then used to gather an image of the field of view and a special processor converts the picture provided by the camera into colour. This known arrangement provides very high resolution pictures with good colour, but requires sufficient space to accommodate the filter

10

15

20

wheel and motor as well as complicated synchronisation circuitry. It is therefore relatively expensive.

In the present invention, the filter wheel, drive motor and synchronisation circuitry can be avoided by using red, green and blue LEDs which are strobed, i.e. operated sequentially, typically about 50 Hz, by a specialised power supply system. As before, this provides alternating red, green and blue light at the distal end of the scope and a monochrome camera and suitable processor can be used to provide full colour images. This arrangement is cheaper and more compact than the prior art and usually produce better colours and resolution than a system using white light and a colour imager.

Other types LED could also be employed. For example, LED chips 10 producing infra red light could be used to form a type of thermal image with appropriate specialised image equipment.

Alternatively, LED chips 10 producing ultraviolet light could be employed to allow the use of the borescope or endoscope in dye penetrant and magnetic particle testing.

25

30

35

•

In those embodiments in which the array 20 is provided at the proximal end of the scope, the array and focussing lens or light pipe etc may be included as an integral part of the scope 24. Alternatively, they may be provided as components in a separate module which is detachable from the scope 24 as required.

As those skilled in the art will appreciate, the present invention provides an improved arrangement for providing illumination via a borescope or endoscope, which reduces light losses, is very compact and does

WO 01/49164 PCT/GB00/05008

- 11 -

not interfere with positioning of the other components in the scope. It will be apparent that a number of variations and modifications may be made to the particular embodiments described, without departing

from the scope of the present invention. 5

30

CLAIMS

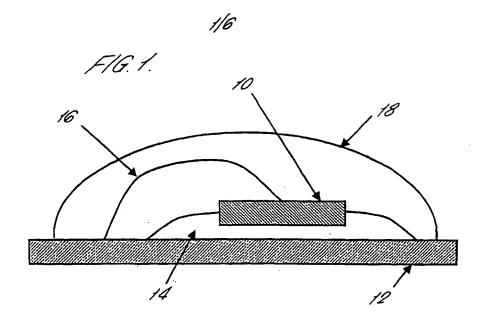
- Apparatus for use as a borescope or endoscope for viewing an object at a remote or inaccessible
 location, comprising a tube having a proximal end and a distal end, means in the tube for obtaining an image of an object and transmitting it to a viewing device, and illumination means comprising an array of light emitting diodes (LEDs) mounted on a substrate and
 covered by a common protective shield of optically clear material.
 - 2. Apparatus as claimed in claim 1, wherein the array is positioned at the distal end of the tube adjacent a viewing port provided in the tube.
- 3. Apparatus as claimed in claim 1, wherein the illumination means further comprises a plurality of optical fibres for transmitting light through the tube from the proximal end to the distal end, wherein the fibres are arranged in annular form and present an annular end face at the proximal end of the tube, and wherein the array of light emitting diodes is annular and is positioned at the proximal end of the tube facing the annular end face of the fibres.
 - 4. Apparatus as claimed in any of claims 1-3, wherein the protective shield is shaped to form a lens to focus light produced by the LEDs.
 - 5. Apparatus as claimed in new claims 1-3, wherein a lens is positioned in front of the array to focus light produced by the LEDs.
- 35 6. Apparatus as claimed in claim 3, wherein an annular light pipe is positioned between the annular array and the annular end face for transmitting light

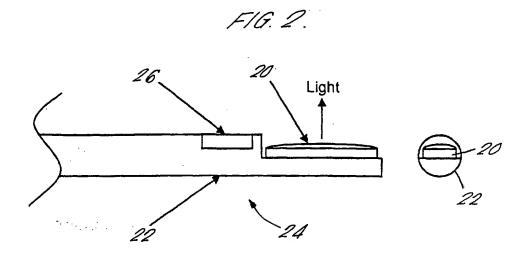
15

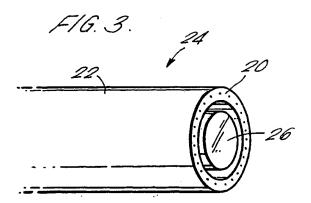
from the array to the optical fibres.

- 7. Apparatus as claimed in claim 6, wherein the proximal end of the light pipe is shaped so as to forma lens to focus light onto the end face of the fibres.
 - 8. Apparatus as claimed in claim 6, wherein a separate lens is positioned between the light pipe and the end face of the fibres to focus light onto the end face.
 - 9. Apparatus as claimed in any preceding claim, further comprising cooling means to dissipate heat produced by the array.
- 10. Apparatus as claimed in any preceding claim, wherein the LEDs emit white light.
- 11. Apparatus as claimed in any of claims 1-9,
 wherein the LEDs emit blue light and the protective
 shield incorporates white or yellow phosphor whereby
 the array produces white light.
- 12. Apparatus as claimed in any of claims 1-9, wherein the array comprises LEDs emitting different colours, operable in combination to produce white light.
- 13. Apparatus as claimed in claim 12, wherein the
 array includes LEDs operable to produce red, green and
 blue light and means to operate the LEDs sequentially
 so as to provide light which sequentially alternates
 between red, green and blue.
- 35 14. Apparatus as claimed in any of claims 1-9 wherein the LEDs produce infra-red light.

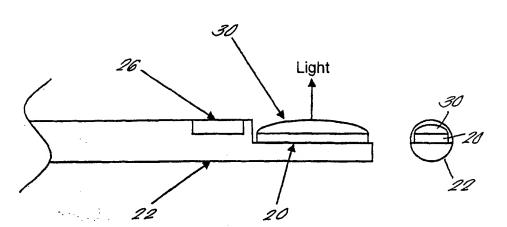
- 15. Apparatus as claimed in any of claims 1-9 in which the LEDs produce ultra-violet light.
- 16. Apparatus as claimed in any preceding claim,5 wherein the array includes at least 50 LEDs.
 - 17. Apparatus as claimed in any preceding claim, wherein the array includes at least 80 LEDs.
- 10 18. Apparatus as claimed in claim 3, wherein the array is incorporated in an assembly detachable from the tube.
- 19. Apparatus substantially as hereinbefore described15 with reference to the accompanying drawings.



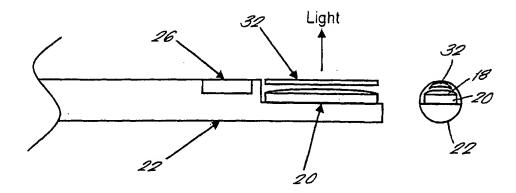


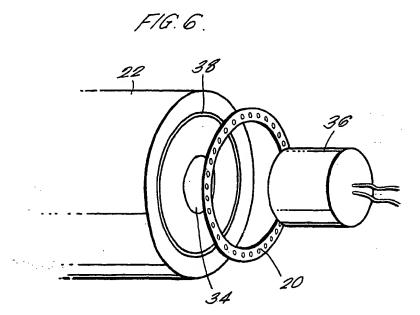


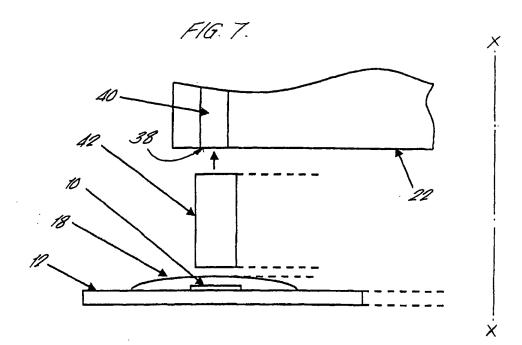


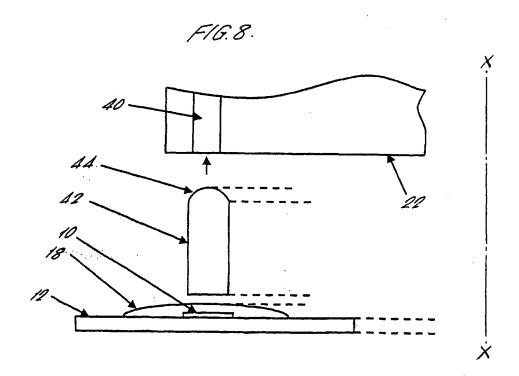


F1G.5.

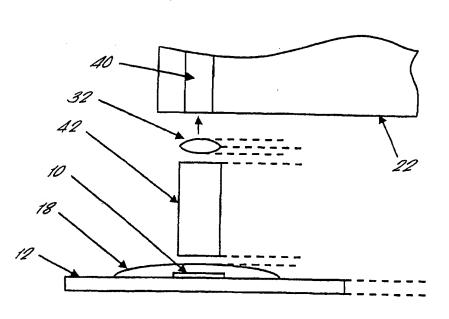


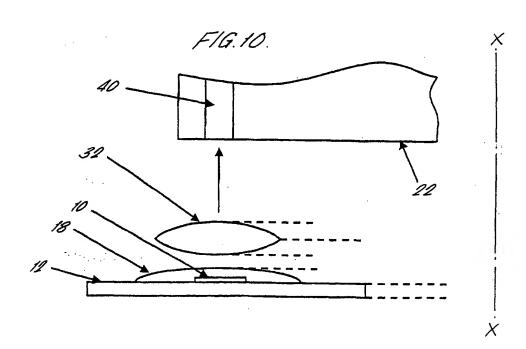




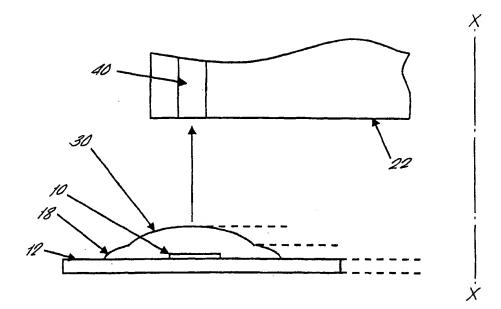












INTERNATIONAL SEARCH REPORT

Int onal Application No PCT/GB 00/05008

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61B1/07

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{Minimum documentation searched} & \mbox{(classification system followed by classification symbols)} \\ \mbox{IPC 7} & \mbox{A61B} & \mbox{F21V} & \mbox{H01L} \end{array}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT						
Category •	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.				
Y	WO 95 15060 A (APOLLO CAMERA LLC) 1 June 1995 (1995-06-01) page 4, line 21 -page 7, line 18	1-9, 16-19				
Y	GB 2 276 032 A (PRP OPTOELECTRONICS LIMITED) 14 September 1994 (1994-09-14) page 1, line 16 -page 3, line 24 page 4, line 11 -page 6, line 16	1-9, 16-19				
Υ	US 5 733 246 A (R. E. FORKEY) 31 March 1998 (1998-03-31) column 4, line 37 -column 6, line 32	1-5,9, 16-19				
A	column 8, line 8 - line 60	10-15				
Y	US 5 241 170 A (R. J. FIELD, JR. ET AL) 31 August 1993 (1993-08-31)	1-3,6				
A	column 1, line 35 -column 3, line 14 column 5, line 36 -column 8, line 9	7-9				
	-/					

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
Special categories of cited documents: 'A' document defining the general state of the art which is not considered to be of particular relevance 'E' earlier document but published on or after the international filling date 'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) 'O' document referring to an oral disclosure, use, exhibition or other means 'P' document published prior to the international filling date but later than the priority date claimed	 'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention 'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone 'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. '&' document member of the same patent family
Date of the actual completion of the international search 12 April 2001	Date of mailing of the International search report 23/04/2001
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3018	Authorized officer Geffen, N

INTERNATIONAL SEARCH REPORT

Inti onal Application No PCT/GB 00/05008

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT Category Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No.								
Calegory *	Citation of document, with Indication, where appropriate, of the relevant passages	Relevant to claim No.						
A	US 5 660 461 A (R. W. IGNATIUS ET AL) 26 August 1997 (1997-08-26) column 1, line 38 -column 2, line 42 column 3, line 40 -column 5, line 25	1,4,5,9						
P,A	WO 99 66830 A (BIOMAX TECHNOLOGIES INC) 29 December 1999 (1999-12-29) the whole document	1-19						

INTERNATIONAL SEARCH REPORT

Information on patent family members

Intitional Application No PCT/GB 00/05008

Patent document cited in search report			Publication Patent family date member(s)			Publication date	
WO	9515060	A	01-06-1995	AU AU CA EP	694466 B 1292795 A 2177178 A 0734629 A	23-07-1998 13-06-1995 01-06-1995 02-10-1996	
GB	2276032	A	14-09-1994	NONE			
US	5733246	A	31-03-1998	EP JP SG TW	0682451 A 8079581 A 30380 A 387560 Y	15-11-1995 22-03-1996 01-06-1996 11-04-2000	
US	5241170	Α	31-08-1993	NONE			
US	5660461	Α	26-08-1997	CA EP JP WO	2204432 A 0796506 A 10502772 T 9618210 A	13-06-1996 24-09-1997 10-03-1998 13-06-1996	
WO	9966830	Α	29-12-1999	US AU	6110106 A 4355699 A	29-08-2000 10-01-2000	